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⑦ Applicant: International Business Machines Corporation
Old Orchard Road
Armonk, N.Y. 10504(US)

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⑧ Inventor: Gonzales, Cesar A.
RFD 4, Box 270, Foley Road
Katonah, New York 10536(US)
Inventor: Viscito, Eric
55 Mill Plain Road, Unit 18-4
Danbury, Connecticut 06811(US)

⑤ Designated Contracting States:
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⑨ Representative: Schäfer, Wolfgang, Dipl.-Ing.
European Patent Attorney, IBM Deutschland
GmbH, Schönaicher Strasse 220
W-7030 Böblingen(DE)

⑩ An apparatus and method for motion video encoding employing an adaptive quantizer.

⑪ An apparatus and method for encoding of a video picture is disclosed. The video picture has a plurality of pictures. The pictures each have a plurality of macroblocks. The macroblocks each have a plurality of sub-blocks. The apparatus comprising a first module configured to generate a transform coefficient C_{ij} for each of the sub-blocks of the macroblock. The apparatus further comprises a second module configured to variably quantize the transform coefficient by a scaling factor Q_p based on the complexity of the picture and any rate control requirements.

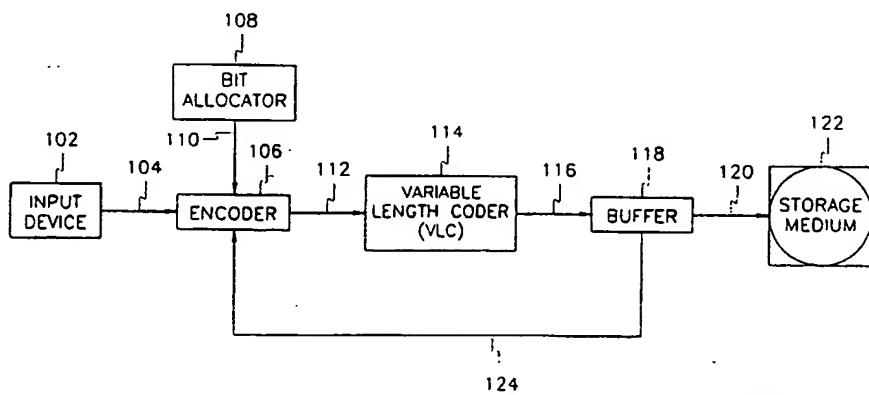


Fig. 1

EP 0 514 663 A2

The present invention relates generally to video imaging systems and methods. More particularly, the present invention relates to a system and method for digital still picture and motion video compression.

Due to the current interest in digital multimedia interactive programs, there has been extensive activity in the international standards bodies dealing with still picture and motion video compression. In particular, the Motion Picture Experts Group (MPEG), a group working under the sponsorship of the International Standards Organization, is rapidly converging towards a standard for the compression of digital motion video. One of the interesting features of this standard is that only the "decoding" algorithm syntax will be specified in detail. It will be thus possible to have different encoders, all of which produce bit streams compatible with the standard's syntax, and yet result in different levels of video quality. The MPEG video standard is fully described in ISO-IEC JTC1/SC2/WG11, MPEG 90/176 Rev. 2, December 18, 1990. This reference is hereby incorporated in its entirety into this disclosure. The MPEG standards will be briefly discussed herein.

Generally, the MPEG video standard defines a layered architecture for compressing a video sequence. First, a sequence of video pictures is subdivided into disjoint Groups of Pictures (GOP). Each GOP is compressed independently of other GOPs to facilitate random access to any picture and also to limit the propagation of transmission errors.

Every picture in a GOP is subdivided into Macro-Blocks (MB). For a color picture, a MB is a collection of 16 x 16 luminance pixels and two 8 x 8 blocks of chrominance pixels. In MPEG, the two chrominance components are sampled at half the horizontal and vertical resolution of the luminance. As such, a MB completely describes a 16 x 16 color segment of a picture. In a MB, the 16 x 16 luminance pixels are further subdivided into four luminance blocks of 8 x 8 pixels.

MBs can be coded into two modes, namely: intra and predictive. In intramode, a MB is coded independently of pixel data in previous or future pictures. In predictive mode a MB is coded with reference to pixel data in either a previous (forward prediction), a future picture (backward prediction), or both (interpolative prediction). A prediction is formed by applying motion compensation techniques to the referenced pictures, and an MB error data is generated by subtracting the prediction from the original pixel data.

The MPEG standard requires that the first picture in a GOP be coded as an intrapicture. An intra picture is defined as having all of its MBs coded in the intramode. The remaining pictures of a GOP are then coded as either unidirectional predictive pictures (i.e., its MBs are coded in a mixture of intramode and forward prediction) or bidirectional predictive pictures (i.e., its MBs are coded in any of the MB coding modes).

The still or motion picture data in the form of MB's (represented by either the actual MB pixel data (intramode) or only the error data (predictive) to be compressed is then inputted to a first compression step. This first compression step is a transformation applied by a 2-dimensional 8 x 8 Discrete Cosine Transform (DCT) to each of the MB blocks.

After applying the DCT to the six blocks in a MB, MPEG suggests that the resulting transform coefficients undergoes a second compression step. This second compression step is a scaling and truncation step (referred to in the art as "quantization"). Each of the DCT coefficients are uniformly quantized with a matrix of quantization steps. MPEG specifies one of two reference matrices from which the quantization steps may be derived. The choice of which matrix depends on the MB mode. This second step is an additional compression step which is necessary to achieve adequate compression of the picture data. Although the reference matrices can be defined by the encoder at the beginning of a video sequence, they remain fixed afterwards. MPEG allows dynamic changes to the matrix of quantization steps, however, by allowing a scaling factor for the reference matrices; this scaling factor can be changed for every MB. MPEG, however, does not disclose an apparatus or method for determining and changing the scaling factor. Keeping this scaling factor constant may result in the unnecessary loss of picture quality during the compression mode.

The present invention is directed to providing an apparatus and method for determining and changing the scaling factor left undefined by MPEG. An apparatus and method that performs this function is defined as adaptive quantization (AQ). As such, the present invention is an apparatus and method for encoding still and motion pictures employing an adaptive quantization feature to the transform coefficients for improved quality of still pictures and motion video compression.

The adaptation is performed on a MB to MB basis and varies based on the complexity of the image and the available rate control requirements.

In one embodiment, the encoder of the present invention comprises a transform coefficient module and an adaptive quantization module. The transform coefficient module of the preferred embodiment employs a conventional Discrete Cosine Transform (DCT) function to generate a transform coefficient C_{ij} for each sub-block of a macroblock.

Each transform coefficient C_{ij} is then inputted to the adaptive quantization module where it is variably quantized based on (1) the complexity of the image and (2) any rate control requirements that may be present in the encoding apparatus.

5 In one embodiment, the adaptive quantization module is configured to perform a first step quantization followed by a second step quantization. In the first step, each transform coefficient C_{ij} is scaled by a fixed weighting factor matrix to yield a partially quantized coefficient Cw_{ij} . Two matrices can be defined in MPEG.

10 The second quantization step is that of scaling Cw_{ij} by a second scaling factor q_p which remains constant for all Cw_{ij} in a macroblock, but can vary from macroblock to macroblock to yield a fully quantized coefficient Cq_{ij} . In one embodiment, the scaling factor q_p is determined based on the complexity of the picture (macroblock) as measured by Cw_{ij} and the rate control requirements.

15 With regard to the complexity of the picture, the adaptive quantization module will select the scaling factor q_p based on a minimax algorithm applied to the Cw_{ij} coefficients of the four luminance blocks contained in a MB.

20 In this regard, when the picture is complex the user will not be visually susceptible to image abnormalities. As such, the quantization factor, q_p , may be high, thus reducing the number of bits used. In contrast, when the picture is not complex, the user is susceptible to image abnormalities. As such, the quantization factor, q_p , may be low, thus reducing compression but at the cost of increasing the number of bits used. The minimax algorithm senses the complexity of the picture and is used, in part, to determine q_p .

25 With regard to rate control requirements, encoders typically employ an equalizing buffer to ensure that a mechanism exists for equalizing the variable bit rate at which data is generated by compression and the constant bit rate that is typical of many storage media. The equalizing buffer has an upper and lower rate control requirement. The lower rate control requirement is such that a minimum amount of information must always be stored in the buffer. The upper rate control requirement is such that only a maximum amount of information can be stored in the buffer at a given time. As such, it is important that the q_p ensure that the occupancy of the buffer stay within these upper and lower bounds.

Accordingly, the present invention strikes a balance between maximization of the quality of the image while staying within the upper and lower boundaries of the rate control requirements.

30 Although the present invention is described in the context of the MPEG standard, it should be clear that the present invention is applicable to any video compression scheme that is transform based. The nature of the transform (Discrete Cosine, Hadamard, Lapped Overlapped, etc.) and the size and/or structure of the transform blocks and MB can be changed without affecting the fundamental ideas of this invention. For example, a macroblock could be re-defined to contain fewer or more of the blocks defined in MPEG.

35 The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of (a) preferred embodiment(s) of the invention, as illustrated in the accompanying drawing(s).

The following detailed description of the present invention will be more fully understood with reference to the accompanying drawings in which:

40 FIGURE 1 is a high level block diagram showing of the motion picture encoder of the present invention; FIGURE 2 is a block diagram showing the transformation coefficient module and adaptive quantization module of the present invention;

45 FIGURE 3 is a more detailed block diagram showing the architecture of the adaptive quantization module; and

FIGURE 4 is a more detailed block diagram showing the architecture of the q_p selection module.

The present invention is an apparatus and method for encoding of still or motion pictures. The encoder of the present invention employs an adaptive quantization (AQ) feature such that the quantization of the picture data is automatically varied to produce the high quality image while maintaining acceptable bit-rate control requirements.

50 Referring to FIGURE 1, where a high level block diagram of the motion picture encoder 100 of the present invention is illustrated. As shown, the motion picture encoder 100 generally comprises an input device 102 for inputting a sequence of motion pictures. The input device 102 may be, for example, the output from a digital video cassette recorder or a digitized output from any analog image capture device, such as a camera, VCR, and the like.

55 The digital motion picture is inputted by input device 102 along a bus 104 to an encoder 106. As will be described more fully herein, the encoder 106 of the present invention is configured to perform a transformation and quantization step. As will also be more fully described, the quantization step of the present invention is based on the complexity of the image and the rate control requirements of a buffer 118 (to be described).

Further shown is a bit allocator 108. Bit allocator 108 is provided to allocate a specific numbers of bits

for each picture. Bit allocator 108 may take a number of configurations. In one embodiment, the bit allocator 108 may be configured to assign exactly the same number of bits to each picture. Alternatively, the bit allocator 108 could be configured such that the number of bits allocated is dependent on the picture being processed. For example, the MPEG group in document ISO/JEC JTC1/SC2/W611, MPEG 90/41, July, 1990, 5 disclosed a method for variable bit rate allocation. This reference is hereby incorporated by reference in its entirety into this specification. As will be shown more fully herein, the encoder 112 of the present invention can be configured to operate with a variety of bit allocation strategies.

The compressed data picture is then outputted along a bus 112 to a variable length coder (VLC) 114 where the encoded information is represented by at least one bit. VLC encoding is well known in the art and 10 is a further compression step.

After the compressed data is coded by the VLC 114, it is outputted along a bus 116 to a buffer 118. Buffer 118 is provided so as to equalize the variable bit rate of the compressed data being outputted by VLC 114 on bus 116 with the constant bit rate of a storage device 122. A storage device, in this case, may be for example any media operating at a fixed data rate. For example, one common bit rate is that of a CD- 15 ROM which operates at about a bit rate of 1.5 Mbit/s. As such, it is important to (1) ensure that there is always enough data in the buffer 118 and (2) that buffer 118 is not-overflowed.

Further shown is a bus 124. Bus 124 allows the encoder 106 to sense the "fullness" (either too low or 20 too high) of buffer 118. As will be described more fully herein, the encoder 106 is configured to quantize the transform coefficient by a scaling ratio which is determined based on the "fullness" of the buffer 118 and the complexity of the video picture.

Referring now to FIGURE 2, where a high level block diagram of the encoder 106 is illustrated. As shown, the encoder 106 first comprises a transform coefficient device 202.

The transform coefficient device 202 is provided to transform each MB into a corresponding transform coefficient. In the preferred embodiment, the transform coefficient device 202 is configured to perform a 25 Discrete Cosine Transform (DCT) function. The DCT algorithm is well known in the art and will not be described herein. As a result of the transform coefficient device 202, each block in an MB is transformed into a set of transform coefficients C_{ij} .

The C_{ij} for each block is then outputted along a bus 204 to an adaptive quantization device 206. The adaptive quantization device 206 is configured to quantize each transform coefficient C_{ij} by an appropriate 30 amount such as to achieve sufficient compression while maintaining image quality. The quantized transform coefficient is represented by Cq_{ij} . The quantizing of each transform coefficient C_{ij} is thus not fixed and is based on the (1) fullness of the buffer 118 and (2) the complexity of the image.

The mathematical foundation for the present invention will now be described. Generally, the process of 35 uniform quantization of an 8 x 8 matrix of transform coefficients C_{ij} can be described by the following equation;

$$Cq_{ij} = \text{INTEGER} \left[\frac{C_{ij} + k}{Q_{ij}} \right] \quad i, j = 1, \dots, 8 \quad [1]$$

40

where $\text{INTEGER}[x]$ extracts the integer portion of x ; C_{ij} are the transform coefficients, and Cq_{ij} are the resulting quantized steps. Q_{ij} are the corresponding quantization steps. The parameter k takes the value of 1 for quantization with rounding to the nearest integer and 0 for truncation. Note that throughout this 45 application, positive transform coefficients are assumed. For negative coefficients, all formulas remain valid if the magnitude is extracted first and the sign is restored after the quantization process is completed.

For use within the MPEG standard, Equation [1] can be rewritten in a different way by making $Q_{ij} = q_p \omega_{ij}/8$. In this case,

50

$$Cq_{ij} = \text{INTEGER} \left[\frac{8C_{ij} + k}{q_p \omega_{ij}} \right] \quad i, j = 1, \dots, 8 \quad [2]$$

55 where ω_{ij} is a set of quantization weights and q_p is the quantization scaling factor. In the MPEG standard, two integer matrices of ω_{ij} can be defined to code a sequence. Additionally, in the MPEG standards q_p is allowed to vary between 1 and 31 on a MB to MB basis. It is this variability that permits adaptive quantization. The apparatus and method of the present invention determines the appropriate value for q_p . As

will be more fully described herein, the present invention automatically chooses q_p such as to optimize the visual appearance of a video sequence while maintaining a constant average output data rate.

The present invention attempts to distribute the available bits equally among all MBs in a picture. In this manner, MBs with high energy would be assigned a coarser quantizer than those with low-energy content.

5 This assignment is in accordance with the results of many experiments with the human visual system that suggest that humans are more tolerant to errors in areas of a picture with great activity or energy. As such, q_p should be selected according to some measure of energy content for a block (small transform coefficient C_{ij} corresponds to little energy.) An alternative embodiment would also take into account the energy of neighboring MBs.

10 The assignment of q_p , however, cannot be arbitrary. In applications where the output bandwidth is fixed, q_p must also be used to control the average compressed data rate. Typically, quantized coefficients together with other data are coded by variable length codes. One consequence of this coding is that the data associated with a single video picture is not constant. In order to equalize the variable data rate at which compressed data is generated, and the output data rate at which it is transmitted, the data must be buffered in the buffer device 118. Typically, the output data rate is constant. However, the apparatus and method of the present invention is equally applicable to embodiments where the output data rate is variable. Once the size of buffer 118 is chosen, the rate at which the compressed data is generated must be regulated so that the buffer 118 does not overflow or underflow (becomes empty). This rate control is also accomplished by dynamically modifying q_p . The encoder 100 is capable of adapting q_p to improve the 20 overall quality of a picture while simultaneously satisfying the buffer 118 size constraints.

In the preferred embodiment, the output data rate has a target rate of around 1.5 Mbit/s with a video resolution of 352 x 240 x 30 pixels/s. As will become apparent to one of ordinary skill in the art, the present invention can be extended in trivial ways to operate at higher resolutions and bit rates.

25 In one embodiment, the adaptive quantization device 206 operates to split the quantization process of Equation 2 into two steps: a quantization by ω_{ij} followed by a quantization by q_p . In between these two steps, the value of q_p is selected based on the results of the first step as well as on the fullness of the output data rate equalizing buffer 118.

Returning now to FIGURE 3, a block diagram illustrates one embodiment of the adaptive quantization device 206.

30 As shown by Figure 3, the transform coefficients C_{ij} are inputted to a first multiplier 302 where they are multiplied with $2^q/\omega_{ij}$ which quantity is inputted by a block 304 along a bus 306. This is the first quantization step and yields a partially quantized coefficient Cw_{ij} . The scaling factor 2^q can be selected such that enough precision is maintained even if all operations are carried out with integer arithmetic. Since the values of ω_{ij} do not change for a video sequence, the multiplicative factors $2^q/\omega_{ij}$ will also remain constant and they only 35 need be computed once at the beginning of a sequence. The pre-computed values could be stored with arbitrary precision in a table of 64 integers (one for each of the 8 x 8 transform coefficients). In MPEG, two ω_{ij} matrices are allowed and therefore two tables are needed.

40 Thereafter, the output of multiplier 302 (Cw_{ij}) is inputted to a second multiplier 310 where Cw_{ij} is multiplied by $2^q/q_p$, denoted by a block 312. This is the second quantization step. However, unlike the first quantization step, q_p is variable and is determined by a q_p selection device 314. The scaling factor 2^q can be selected such that enough precision is maintained even if all operations are carried out with integer arithmetic. As will be shown more clearly below, quantization by q_p can be carried out through multiplication by one of 31 pre-stored integer values corresponding to all possible $2^q/q_p$ values in MPEG. In this case, the q exponent determines the precision of the results of this step. There are extensions of this idea that 45 improve further the precision of the arithmetic operations without increasing the number of bits required for the intermediate quantization results. For example, one could split the multiplication by $2^q/\omega_{ij}$ into a multiplication by $2^{q-m}/\omega_{ij}$ followed by normalization (division or binary shift) by 2^m . Of course, if floating point arithmetic is available, no power of two scaling is necessary.

50 As such, the quantization step defined mathematically by Equation [2] and illustrated in Figure 3 is split into two separate steps. The first step is mathematically expressed as:

$$Cw_{ij} = \text{INTEGER} \left[\frac{2^q}{\omega_{ij}} C_{ij} \right] \quad i, j = 1, \dots, 8 \quad [3]$$

55

where Equation 3 is carried out in the multiplier 302. The second step can be expressed as:

$$Cq_{ij} = 2^{3-\omega-q} \text{ INTEGER} \left[\frac{2^q}{q_p} Cw_{ij} + 2^{\omega+q-4} k \right] \quad i, j = 1, \dots, 8 \quad [4]$$

5

where Equation 4 is carried out by the multiplier 310, adder 320, and multiplier 328. In Equation 4, the value of q_p is chosen based, at least in part, on the values of Cw_{ij} and thus the energy level (or complexity) of the macroblock.

10 It should be understood that if a floating point processor is used, ω and q can be set equal to zero. The resultant quantized transform coefficient Cq_{ij} is then outputted on the bus 112 to the VLC device 114.

15 As discussed before, q_p is selected on the basis of the energy content of transform blocks, and alternatively their neighborhood, as well as on the basis of buffer 118 fullness and bit allocation considerations. The higher the value of q_p , the more compressed the picture becomes. As such, the fewer bits need to be allocated but at the cost of image quality. For high energy pictures, it has been found that the user cannot easily notice image abnormalities. In contrast, for low energy pictures the user can easily notice image abnormalities. As such, q_p should be as low as possible for low energy pictures thus reducing compression. In the case of MPEG, because an MB naturally defines a neighborhood of four 8×8 20 luminance blocks, it constitutes a natural unit for measuring energy. However, because of rate control requirements, q_p has an upper and lower limit. As such, it is desirable to maximize the image quality only when needed while staying within the rate control limits.

The q_p selection device 314 is configured to operate generally as follows:

25 1. Select a first quantizer factor, q_p^0 in the range between 1 and 31 on the basis of bit allocation and buffer 118 fullness control considerations. The actual method of selection is not important and could be one of many possibilities. For example the above-referenced document, MPEG 90/41, describes one such method.

30 2. Select a second quantizer factor, q_p^{low} , on the basis of energy considerations for a MB. The preferred method for this selection is based on the determination of the minimum of the maximum energy content transform coefficient for a given macroblock. This can be expressed by the following steps:

a. For the 4 luminance blocks in an MB, obtain the maxima of the partially quantized Cw_{ij}^b coefficients of each luminance block. This can be represented as

$$35 \quad Cw_{\max}^b = \max_{ij} [Cw_{ij}^b] \quad b = 1, \dots, 4 \quad [5a]$$

where the index b represents each of the four luminance blocks in a MB.

40 b. Determine Cw_{\minimax} as

$$45 \quad Cw_{\minimax} = \min_b [Cw_{\max}^b] \quad [5b]$$

In other words, determine the minimum of the four maximum Cw_{\max}^b partially quantized transform coefficients (Cw_{ij}) corresponding to each luminance block in a MB.

50 c. Select q_p^{low} such that Cq_{\minimax} is a predefined value, i.e. using Equation 4 for example,

$$Cq_{\minimax} = 2^{3-\omega-q} \text{ INTEGER} \left[\frac{2^q}{q_p} Cw_{\minimax} + 2^{\omega+q-4} k \right] \quad [5c]$$

55

For the case of $Cq_{\minimax} = 2^m$, the derivation of q_p^{low} can be simplified significantly if the rounding factor ($k=0$) is ignored. In this case,

$$q_p^{\text{low}} = 2^{3-\omega-\alpha} Cw_{\min\max} \quad [6]$$

5 3. The final q_p selection is based on q_p^{low} , appropriately bounded to satisfy rate control and other constraints. For example, because q_p^0 is chosen on the basis of rate control considerations, a large value of q_p^0 suggests that the rate-equalizing buffer 118 is close to full and that we should choose $q_p \approx q_p^0$. On the other hand, if the buffer 118 is far from full, any $q_p \approx q_p^{\text{low}} \leq q_p^0$ is acceptable. A heuristic that works fairly well in MPEG where q_p varies between 1 and 31 is

10

$$q_p = \min \{ q_p^0, \max \{ q_p^{\text{low}}, \text{integer } [1+30 \lfloor \frac{31}{q_p^0} \rfloor^\alpha] \} \} \quad [7]$$

15

where $\alpha > 1$. In particular, for a rate around 1.5 Mbit/s, $\alpha = 2.5$ works well.

As will be obvious to one skilled in the art, other heuristics are equally possible. An additional confirmation of the q_p selection device 314 could insure that no quantized luminance coefficient exceeds a maximum value. MPEG requires, for example, $Cq_{ij} < 2^8$. This requirement can be satisfied by insuring that 20 the final q_p is at least as large as the value of q_p^{\min} defined below

25

$$Cw_{\max\max} = \max_b [Cw_{\max}^b] \quad [8]$$

30

$$q_p^{\min} = 2^{3-\omega-\alpha} Cw_{\max\max} \quad [9]$$

Obviously, these constraints can also be extended to the chrominance blocks.

Referring now to Figure 4, wherein the q_p selection device 314 is described in more detail.

35 As shown, the partially quantized transform coefficients Cw_{ij} are inputted along a bus 316 to a first latch 402. First latch 402 is provided to ensure that only one transform coefficient is passed to a max select module 406 (to be described). The first latch 402 only holds the data for one clock cycle.

40 The transform coefficients are then outputted along a bus 404 to the max select module 406. The max select module 406 is provided to determine the maximum transform coefficient for each of the four sub-blocks of each macroblock. Operation of max select module 406 is equivalent to the mathematical expression of Equation 5a.

The second latch 410 is initialized to zero. After 64 cycles, the second latch 410 will contain the maximum value among the first 64 transform coefficients Cw_{ij} of a single 8×8 pixel block which also corresponds to one sub-block within a macroblock.

45 At this point, a 64 cycle counter 403 operates to clear the second latch 410 and likewise reset the operation of the first latch 402 and first max select module 406. The first latch 402 and the first max select module 406 are then ready for the next sequence of transform coefficients that represent the next sub-block of the macroblock.

50 In short, the function of first max select module 406, first latch 402, and second latch 420 is to simply pick the maximum transform coefficient Cw_{ij} of each sub-block within the macroblock.

The 64 cycle counter 403 after reading 64 cycles knows that the second latch 410 contains the maximum transform coefficient Cw_{ij} that corresponds to the current sub-block. As such, this maximum value is outputted along a bus 412 to a first min select module 414. Min select module 414 is configured to determine the minimum transform coefficient of the four maximum transform coefficient calculated for one macroblock. This is defined as $Cw_{\min\max}$. Operation of min select module 414 corresponds to the mathematical relationship of Equation 5b.

In operation, third latch 420 is initialized to a very large value. Therefore, every time the first counter 403 reaches the count of 64 cycles, the min select module 414 is prompted to take one comparison. The

comparison is with the current value stored in the third latch 420 and the current maximum transform coefficient Cw_{ij} being inputted to the min select module 414 along bus 412. As such, after four cycles of the first 64 cycle counter 403, the third latch 420 will be loaded with the minimum value of the maximum transform coefficient Cw_{ij} of the 4 blocks of a macroblock defined as $Cw_{minimax}$. The 4 cycle counter 405 is 5 essentially the one that counts the 4 blocks. When the 4 luminance blocks of a macroblock have gone through this process, then the fourth latch 426 gets loaded with $Cw_{minimax}$ along a bus 424.

At that point, $Cw_{minimax}$ is outputted to a multiplier 430 where it is normalized to the correct power denoted by block 432. The output of multiplier 430 is q_p^{low} . The operation of multiplier 430 corresponds to the mathematical expression given by equation 6.

10 The value for q_p^{low} is then outputted to a second max select module 436. The max select module 436 is generally provided to ensure that the ultimate value chosen for q_p is not below the lower bounds of any rate control requirements.

15 The output of the max select module 436 is a value of q_p greater or equal than a value below which you cannot go because of rate control requirements. If a lower value is elected, the rate equalizing buffer may begin to overflow, thus causing information to be lost. If q_p^{low} goes below the lower bound, then the max select module 436 will select instead the lower bound value for q_p calculated by the lower bound select module 440 (to be described).

20 The value outputted by the max select module 436 is outputted to a min select module 444. The min select module 444 is provided to insure that an ultimate value for q_p is chosen that is less or equal to a value above which you cannot go because of rate control requirements. In other words, the max select module 436 will not allow q_p^{low} to go above some maximum value q_p^0 . In this case, the concern for rate control is that of underflowing the equalizing buffer. It could be that the buffer is almost empty and it does not have data to transmit. As such, the min select module 444 ensures that the final q_p selected will not be above a certain value which is required for rate control. This value of q_p^0 is calculated from a measure of 25 buffer fullness. As discussed, one method for calculation of q_p^0 is described in MPEG 90/41.

Finally, the set of blocks incorporated in 460 can be used to implement equations 8 and 9. We recall that the purpose of these equations is to define a value $q_p \min$ which is a lower bound for q_p that guarantees that the final quantized coefficients Cq_{ij} will not exceed a pre-defined maximum. It will be recalled that the devices 414, 420, 426, 430, and 432 implement the mathematical equations 5b and 6. The 30 devices incorporated in module 460 are the same except, for replacing a min select for a max select. This is also the difference between Equations 5b and 6, and their corresponding Equations 8 and 9.

It should be understood that the quality of intramode MBs is important for the overall video quality. In particular, the quality of the MBs in an intrapicture of a GOP is crucial for determining the quality of the rest of the pictures in that GOP. At low bit rates, the most objectionable distortion of many transform based 35 schemes is the appearance of blockiness due to coarse quantization of the transform coefficients that lead to a mismatch of pixel intensities around the edges of the transformed blocks. This blockiness is most visible in areas of the picture that are relatively smooth, i.e. where there is little luminance activity. Once introduced, intrapicture blockiness tends to remain for the rest of a GOP; it is thus important to mitigate it in those areas where they are most visually annoying. Areas of low luminance activity are characterized by the 40 low energy content in the AC coefficients of their DCT transform. The DC coefficient, however, only defines the average value of a pixel block and not its activity. For this reason, the present invention applies the algorithm of FIGURE 4 only to the 63 AC coefficients and not to the one DC coefficient. In addition, for coding around 1.5 Mbit/s, the preferred value of $Cq_{minimax}$ is 2.

Adaptive quantization in Predictive MB's is slightly different. In this case, the DC coefficients should be 45 included in the process of FIGURE 4. For coding at around 1.5 Mbit/s, it is useful to only apply adaptive quantization to the MBs in the forward predictive pictures. For this case, $Cq_{minimax} = 1$ is preferred.

While the invention has been particularly shown and described with reference to preferred embodiments thereof, it will be understood by those skilled in the art that the foregoing and other changes in form and details may be made therein without departing from the spirit and scope of the invention.

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Claims

1. An apparatus for encoding of a video picture, the video picture having a plurality of pictures, the pictures each having a plurality of macroblocks, the macroblocks each having a plurality of sub-blocks. 55 the apparatus comprising:
 - (a) a first module configured to generate transform coefficients C_{ij} for each of the sub-blocks of the macroblock; and
 - (b) a second module configured to variably quantize said transform coefficients, said second module

being further configured to quantize said transform coefficients C_{ij} in a first quantization step followed by a second quantization step, said first quantization step being quantization by a matrix of weights in a predefined matrix to generate a set of partially quantized coefficients Cw_{ij} for each of the sub-blocks of the macro block, said second quantization step being quantization by a variable scaling factor q_p based on the calculation of said Cw_{ij} for each sub-block in the macroblock.

5

2. The apparatus of claim 1, wherein said q_p is based on the calculation of $Cw_{minimax}$ where $Cw_{minimax}$ is the minimum of the maximum of the Cw_{ij} for each sub-block of the macroblock.
- 10 3. The apparatus of claims 1 or 2, wherein q_p is also based on the rate control requirements of an output equalizing buffer.
4. The apparatus of claim 3, wherein said rate control requirements consist of an upper boundary and a lower boundary for said scaling factor q_p .
- 15 5. The apparatus of claim 4, wherein q_p is selected from among said $Cw_{minimax}$, said upper and lower bounds of said rate control requirements.
6. A method for encoding of a video picture, the video picture having a plurality of pictures, the pictures each having a plurality of macroblocks, the macroblocks each having a plurality of sub-blocks, the method comprising the step of:
 - (a) generating a transform coefficient C_{ij} for each of the sub-blocks of the macroblock; and
 - (b) generating a variable quantization factor Q_p , said step of generating a variable quantization factor Q_p comprises the step of performing a first quantization step followed by a second quantization step, said first quantization step being quantization by a fixed scaling weight ω_{ij} to thereby generate a partially transformed transform coefficient Cw_{ij} , said second quantization step being quantization by a variable scaling factor q_p based on the calculation of said Cw_{ij} for each sub-block in the macroblock; and
 - (c) scaling said transform coefficient C_{ij} by said quantization factor Q_p to generate a quantized transform coefficient Cq_{ij} .
- 30 7. The method of claim 6, wherein step (b) further comprises the step of determining q_p based on the calculation of a $Cw_{minimax}$, where said $Cw_{minimax}$ is the minimum of the maximum of said Cw_{ij} for each sub-block of the macroblock.
- 35 8. The method of claims 6 or 7, wherein step (b) further comprises the step of determining q_p based on the rate control requirements of an output equalizing buffer.
9. The method of claim 8, wherein step (b) further comprises the step of determining the rate control requirements based on an upper boundary and a lower boundary for said variable scaling factor q_p .
- 40 10. The method of claim 9, wherein step (b) further comprises the step of selecting q_p is from among said $Cw_{minimax}$, said upper and lower bounds of said rate control requirements.

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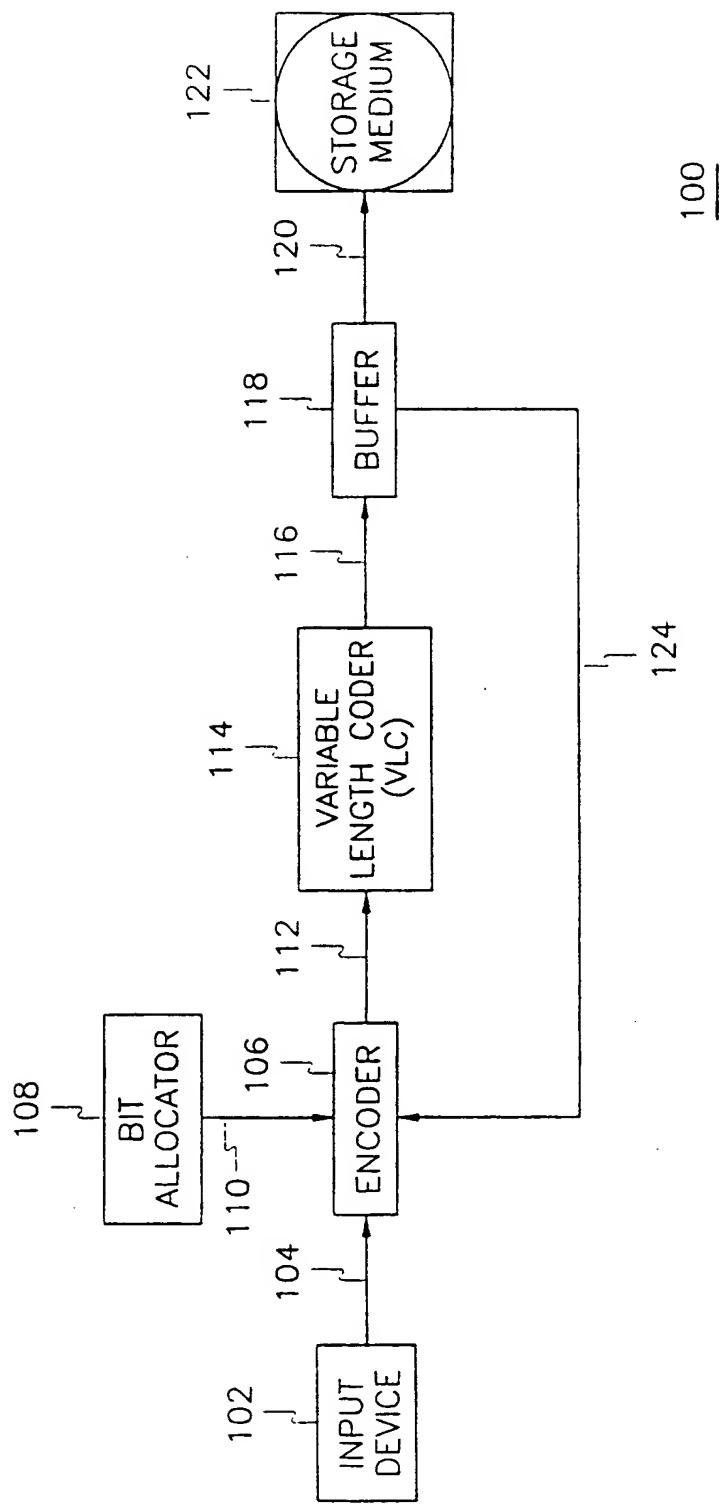


Fig. 1

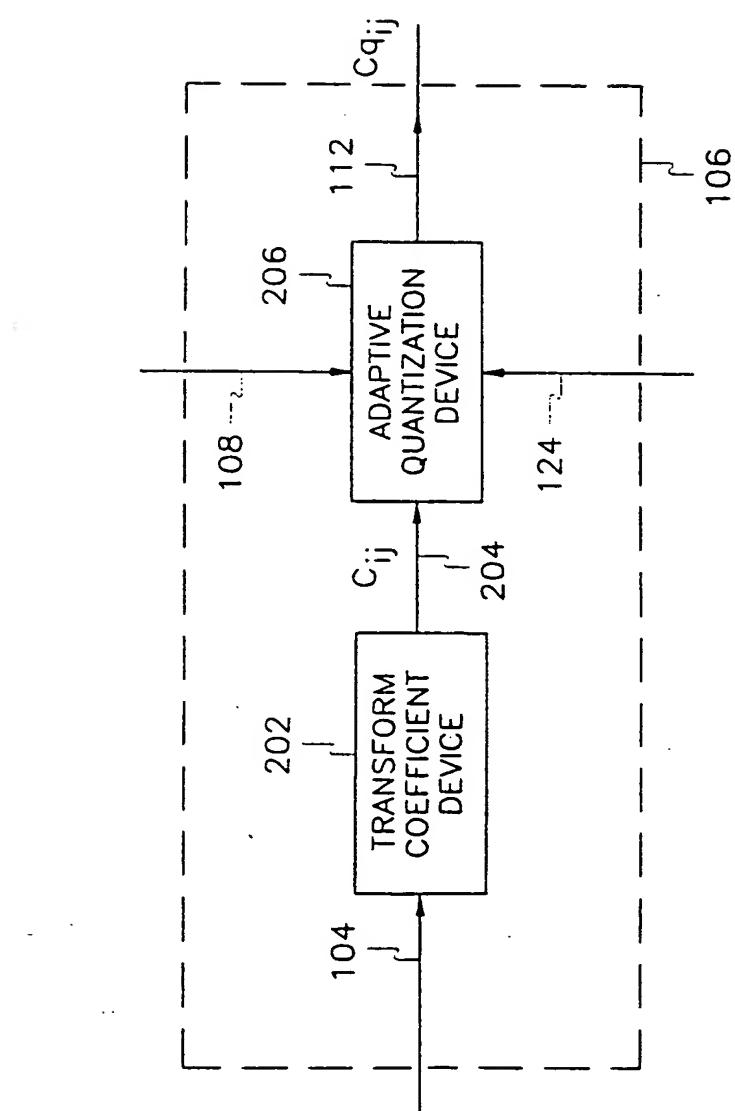


Fig. 2

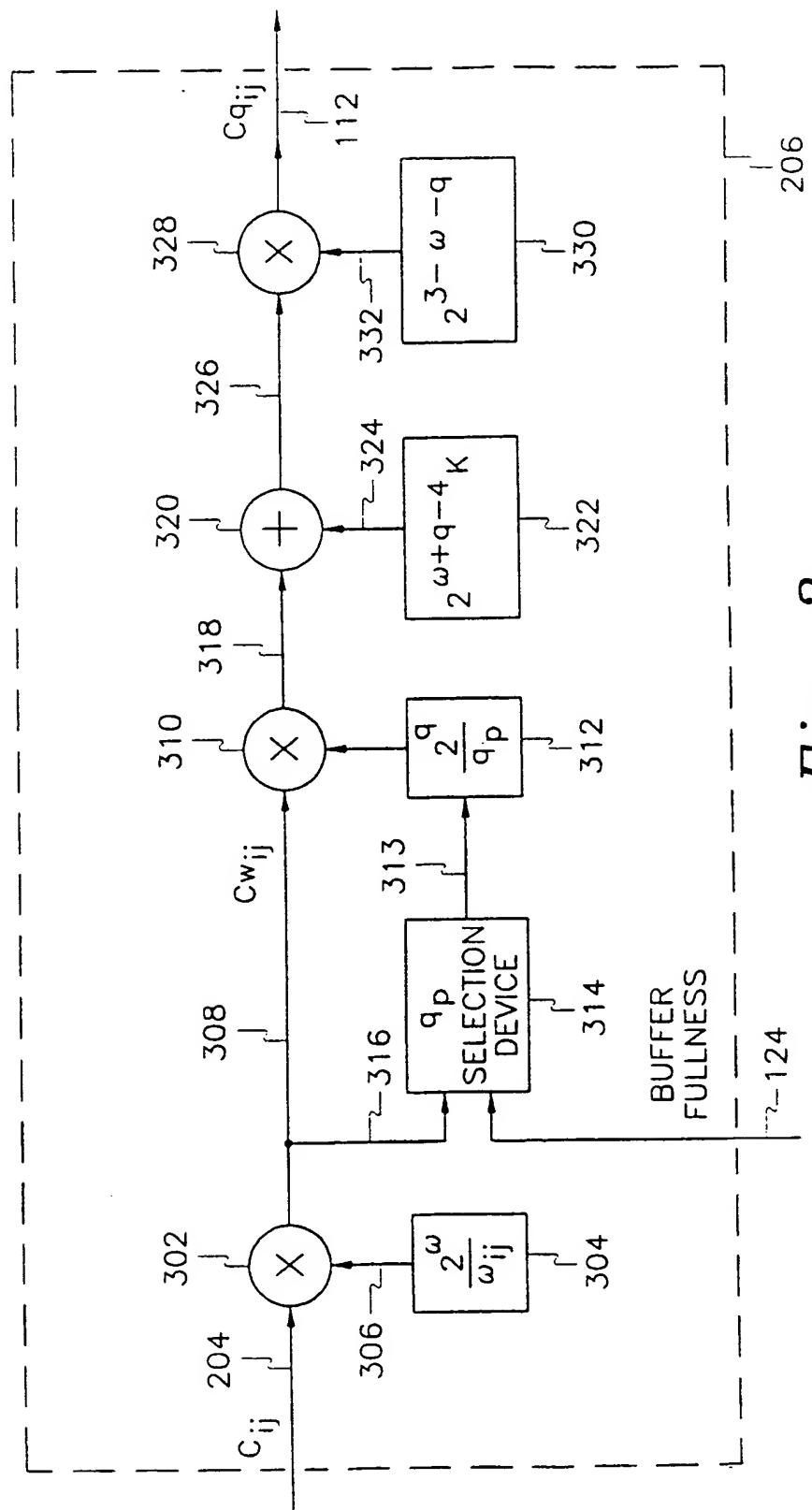


Fig. 3

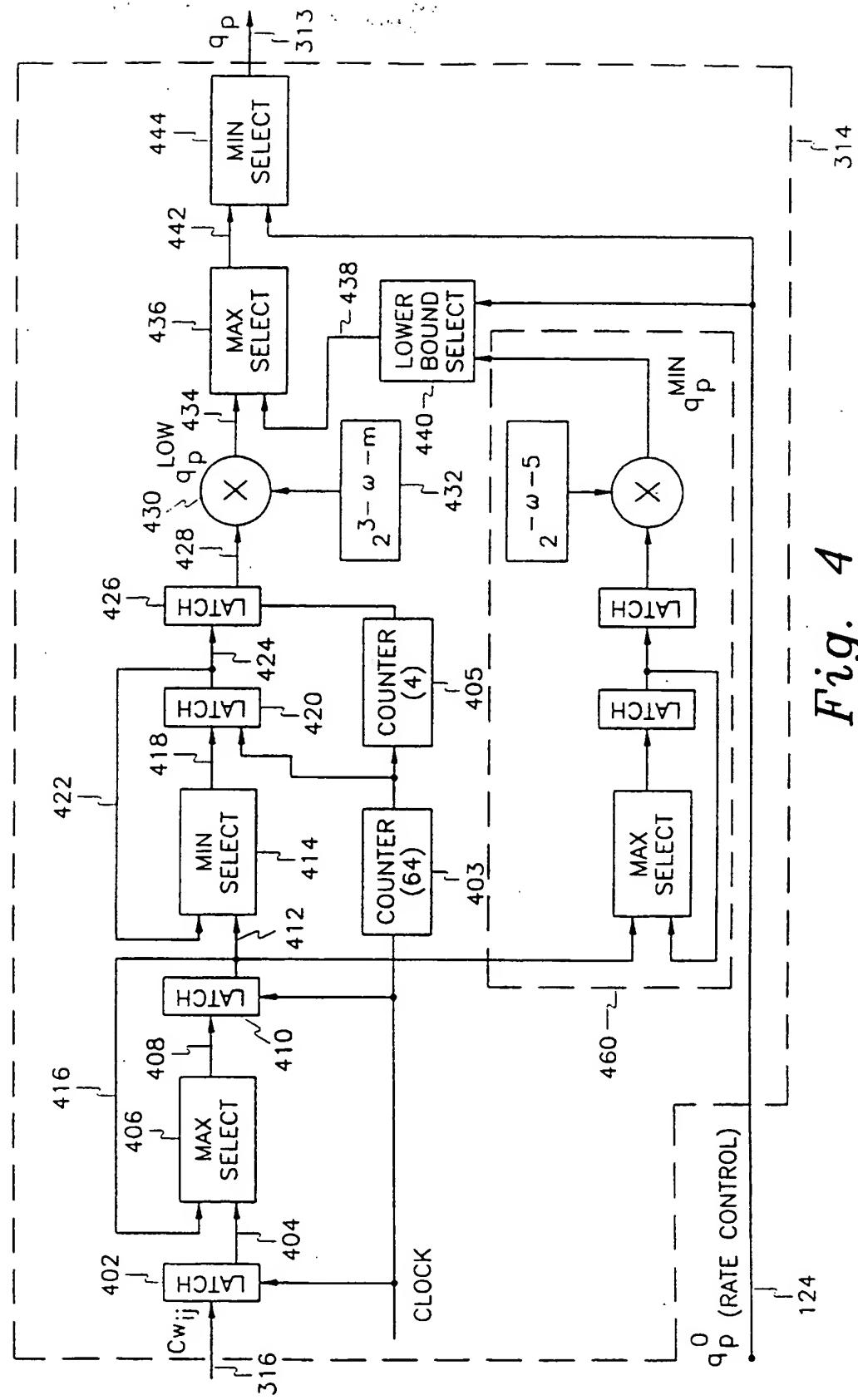


Fig. 4

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㉇ Applicant: International Business Machines Corporation
Old Orchard Road
Armonk, N.Y. 10504(US)

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㉘ Inventor: Gonzales, Cesar A.
RFD 4, Box 270, Foley Road
Katonah, New York 10536(US)
Inventor: Viscito, Eric
55 Mill Plain Road, Unit 18-4
Danbury, Connecticut 06811(US)

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㉙ Representative: Schäfer, Wolfgang, Dipl.-Ing.
IBM Deutschland Informationssysteme
GmbH Patentwesen und Urheberrecht
Pascalstrasse 100
W-7000 Stuttgart 80 (DE)

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㉚ An apparatus and method for motion video encoding employing an adaptive quantizer.

㉛ An apparatus and method for encoding of a video picture is disclosed. The video picture has a plurality of pictures. The pictures each have a plurality of macroblocks. The macroblocks each have a plurality of sub-blocks. The apparatus comprising a first module configured to generate a transform co-

efficient C_{ij} for each of the sub-blocks of the macro-block. The apparatus further comprises a second module configured to variably quantize the transform coefficient by a scaling factor Q_p based on the complexity of the picture and any rate control requirements.

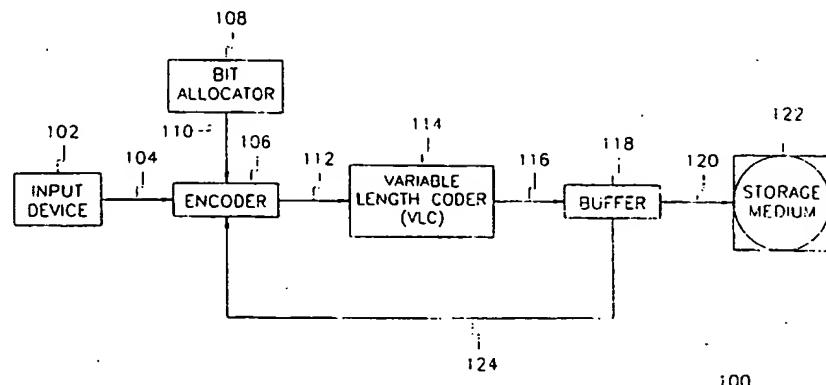


Fig. 1

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EUROPEAN SEARCH REPORT

Application Number

EP 92 10 6314

DOCUMENTS CONSIDERED TO BE RELEVANT			CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	
A	EP-A-0 424 060 (SONY CORPORATION) * page 28, line 41 - page 31, line 43; figures 21-26 *	1,3,6,8	H04N7/133
A	EP-A-0 385 654 (SONY CORPORATION) * page 4, line 15 - page 5, line 11; figure 2 *	1,2,5-7, 10	
A	EP-A-0 380 081 (VICTOR COMPANY OF JAPAN) * column 3, line 5 - column 10, line 24; figures 1-3 *	1-3,6-8	
A	EP-A-0 400 756 (N.V. PHILIPS' GLOEILAMPENFABRIEK) * column 5, line 34 - column 7, line 14; figure 4A *	1,3,6,8	
A	EP-A-0 323 362 (THOMSON GRAND PUBLIC) * page 13, line 29 - page 17, line 28; figures 10,11 *	1,3,6,8	
A	DE-A-4 034 535 (OLYMPUS OPTICAL CO) * column 11, line 67 - column 15, line 62; figures 1,3 *	1,3,6,8	
P,A	EP-A-0 475 251 (MITSUBISHI DENKI KABUSHIKI KAISHA) * page 2, line 15 - line 30 * * page 8, line 47 - page 9, line 49; figures 1,11-13 *	1,3,6,8	H04N
P,A	US-A-5 051 840 (WATANABE ET AL.) * column 10, line 34 - column 11, line 29; figure 14 *	1,4-6,9, 10	
The present search report has been drawn up for all claims			
Place of search THE HAGUE	Date of completion of the search 11 MAY 1993	Examiner VERLEYE J.	
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